

# Truncated Airy pulses supercontinuum generation in a silicon-on-insulator optical waveguide including third-harmonic generation and negative-frequency Kerr terms

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**Abstract:** We report in this work the numerical study of the supercontinuum generation (SCG) phenomenon raised from femtosecond truncated Airy pulses within a silicon-on-insulator (SOI) waveguide including loss effects, third-harmonic generation (THG) and negative-frequency Kerr (NFK) terms. This study is conducted through a modeling based on the full unidirectional pulse propagation equation (UPPE) model which allows to assume the existence of the NFK term in the Kerr nonlinearity with a spectral filtering. The various effects of the linear loss (LL), the free-carrier absorption (FCA)/ free-carrier dispersion (FCD), the two-photon absorption (TPA), the THG, the NFK, the peak power, the pulse duration and the pulse shape are explored and discussed. For the shape comparison, we use a symmetrical profile of the sech-type pulse. More specifically, we show that the Airy pulse has SCG spectra that are less influenced by the waveguide than the sech-type symmetric pulse; moreover, the losses effectively reduce the spectral intensity (S.I) and the spectral bandwidth (S.B) of the spectra while the THG and the NFK increase them. However, the most deleterious factor for the Airy pulse is the LL, while that of the sech-type pulse is the TPA. The SCG spectra of the Airy pulse are broader and more coherent than that of the sech-type in the studied waveguide. Due to the presence of linear and nonlinear loss terms, the increase in signal energy is deleterious to the SCG in this silicon waveguide; this results in smaller spectra as peak power and pulse duration increase.

## 1. Introduction

The drastic spectral broadening known as the supercontinuum generation (SCG) and obtained in highly nonlinear media from intense pulses through the combination of both linear and nonlinear processes, has been nowadays extensively investigated [1-11]. The particular attention on the SCG phenomenon has led to numerous applications in nonlinear optics such as biophotonics applications [1,3] (spectroscopy, microscopy, optical coherence tomography, diffuse optical spectroscopy and tomography...), optical frequency metrology [2], optical telecommunications applications [3] (multichannel telecommunication sources in wavelength-division multiplexing systems, pulse compressors...).

In the path of improving more and more the SCG phenomenon, the pulse shaping technique still has a place. It consists in improving the input pulse characteristics for this achievement. This technique encompasses managing the profile, the timewidth, the power or other parameters as the initial chirp [7,12]. In the SCG studies, the overwhelming majority of prior studies already cited above [1-6,8,9] utilized intense optical pulses with symmetric and compact temporal profiles

such as Gaussian or sech-type pulses while the only ones that focused on Airy pulses are those of refs. [7,10,11,13]. One should remind that, Airy pulses are first predicted by Berry and Balazs within the context of quantum mechanics [14] and, their first introduction in nonlinear optics followed in 2007 by Siviloglou and Christodoulides [15]. The Airy waves have special properties that have been already studied leading to several applications [16-39].

On the other hand, among the highly nonlinear waveguides investigated for the SCG phenomenon figure the non-silica fibers. The silicon waveguides belong to this category of waveguides [40]. This material enhances the tight confinement of optical pulses in the sub-micro wavelengths region when one uses the silicon-on-insulator (SOI) technology [41]. It offers a Kerr coefficient of nonlinearity hundred times the one of silica while its Raman gain is one thousand times the one of silica [40]. Consequently its nonlinear properties are enhanced compared with those of silica and they allow an efficient nonlinear interaction for short waveguide lengths as below 5 cm. Nonetheless, the limiting factors of SOI-waveguides are defined as the two-photon absorption (TPA), the free-carrier absorption (FCA) and the free-carrier density (FCD). The SOI-waveguides have various applications [40-46].

The effects of TPA, FCA/FCD have already been analyzed on the broadened spectra induced by the SCG [41,43] and by the SPM only [47,48]. Indeed, for instance in ref. [43], the TPA was shown to reduce significantly the spectral bandwidth (SB). Moreover, for ultrashort pulses as those in the sub-picosecond domain (femtosecond pulses) for which the effective carrier lifetime should be neglected, Yin et al demonstrated that neither stimulated Raman scattering (SRS) nor FCA/FCD plays a significant role during the SCG in SOI-waveguides [43]. Furthermore, nonlinear multiphoton absorptions (NMAs) processes play a crucial role in limiting the transparency of optical window materials and in causing laser-induced damage to optical components, particularly at short wavelengths [10,11,47-52]. The NMAs processes have been successfully used to produce population inversion in semiconductor laser materials [49].

More recently, the impact on the self-phase modulation (SPM) of both the third-harmonic generation (THG) phenomenon and a novel one dubbed negative-frequency Kerr (NFK) effect, were studied in a Kerr medium [53]. In this study, Loures et al found that, the THG induces additional symmetric lobes in the SPM-broadened spectrum while the amplitude of these sidebands are importantly increased by the NFK term and the self-steepening (SS) effect. The NFK was first discussed theoretically in ref. [54] after the pioneers experiments done in refs. [55-57] and that have revealed the possibility of solitons to emit such negative-frequency resonant radiation. Furthermore, Conforti et al showed that the NFK term does not appear in the common generalized nonlinear Schrödinger equation (GNLSE) based on the slowly-varying envelope approximation (SVEA), and they modeled subsequently a new equation based on the full unidirectional pulse propagation equation (UPPE) that includes the NFK in a GNLSE-like form [54]. It is well-known that, the THG as the four-wave mixing (FWM) necessitates a phase-matching condition to occur in a Kerr medium [2,58,59]. This is not in general satisfied for standard single-mode fibers while phase-matching is much easier to accomplish in highly nonlinear waveguides [2].

Assuming that SOI-waveguides are media that possess high values of Kerr nonlinearity, the consideration of the THG effect could be set. If the analytical modeling of the propagation within SOI-waveguides is not conducted through the SVEA but rather through the novel modeling introduced in ref. [54], the NFK term could also been included. The question of such considerations has not yet been formulated until now for SOI-waveguides. Indeed, we set opened existing interrogations as : (i) is there an existing modeling of pulse propagation within SOI-waveguides that combines SPM, SS, TPA, THG and NFK ? (ii) What happen to the truncated Airy pulse SCG when all these effects are investigated together ? (iii) What about its coherence properties ?. It should be noted that the characteristics of the Airy pulses have already been studied in the context of SOI waveguides [22-24,60,61] but not in the context of the SCG

(except for other kinds of waveguides [7,10,11]) and even more in the consideration of the terms of multiphoton absorption with the terms NFK and THG.

In this work, we conduct for the first time to the best of our knowledge, a modeling that combines into a nonlinear equation the aforementioned nonlinear effects for a SOI-waveguide. This modeling relies on both the one of ref. [40] and the one of ref. [54]. Then, we derive the corresponding equation used to generate numerically by a modified adapted version of the MATLAB code provided in ref. [62], the SCG spectra. Since the single impacts of the SPM, TPA, FCA/FCD, NFK, and THG terms on the spectra are known [1,2,43,47,48,53], we focus in this work on their influence upon the properties of the SCG obtained through the truncated femtosecond Airy pulses in a SOI-waveguide. A particular attention is given to the linear losses, FCA/FCD, TPA, THG, the NFK, and the pulse characteristics such as the peak power, the duration and the shape.

This paper is organized as follows : section 2 describes the analytical modelling of the studied system; the results obtained are presented in section 3 while section 4 highlights the features raised from these findings. A conclusion is done at the last section.

## 2. Modelling

The SOI-waveguide studied in this work is designed as shown in Fig.1 of ref. [41] which has been provided here in Fig. 1. The pumping is done at the optical telecommunication wavelength

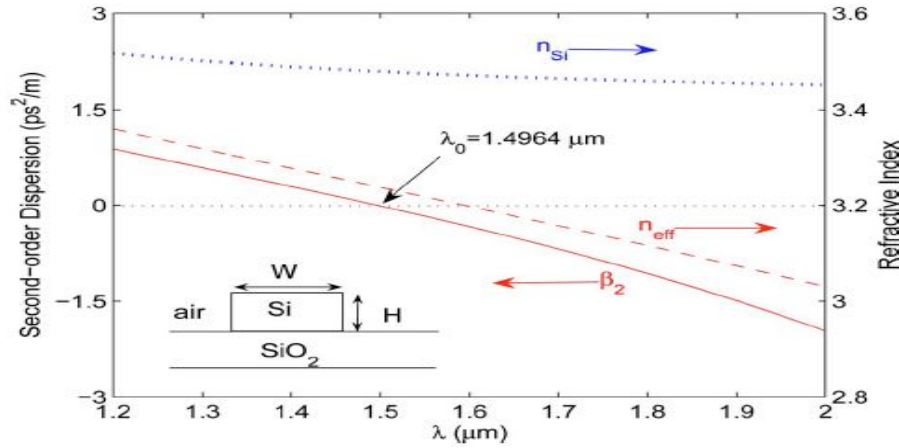


Fig. 1. (color online) Description of the waveguide. Figure 1 reprinted with permission from L. Yin, Q. Lin, and G. P. Agrawal, Opt. Lett. **32**, 391 (2007) [41], <https://doi.org/10.1364/OL.32.000391>. Copyright 2007 by the Optica Publishing Group.

$\lambda_0 = 1.55 \mu\text{m}$ . The waveguide has the following data for the fundamental TE mode : the width  $W = 0.8 \mu\text{m}$  and the height  $H = 0.7 \mu\text{m}$  [41]; For the analytical modelling, let us start with the full UPPE expressed as follows [54]:

$$i \frac{\partial \tilde{E}(z, \omega)}{\partial z} + \beta(\omega) \tilde{E}(z, \omega) + \frac{\omega}{2cn(\omega)} \tilde{P}_{NL}(z, \omega) = 0. \quad (1)$$

It is a reduction of the Maxwell's equations which accounts only for the forward propagating part of the electric field  $E(z, T)$ , with  $\tilde{E}(z, \omega)$  being its Fourier Transform (FT). The parameters  $n(\omega)$ ,  $c$  and  $\tilde{P}_{NL}(z, \omega)$  are the linear refractive index, the speed of light in the vacuum, and the FT of the electric nonlinear polarization, respectively. The function  $\beta(\omega)$  is the propagation constant which is commonly expanded in Taylor series generating the chromatic dispersion profile (CDP)

of the waveguide [2]. Then, one introduces the pulse envelope that deals with the detuning frequency  $\Delta\omega$  from the pump frequency  $\omega_0$  as  $\omega_0 \pm \Delta\omega$ . Nonetheless, contrary to the SVEA approach where  $|\Delta\omega| \ll \omega_0$ , here we consider rather  $\text{supp}\{\Delta\omega\} \in [-\omega_0; +\infty[$  so that one could obtain negative frequencies [54]. It is therefore a spectral extension of the SVEA. The electrical field is defined as  $E(z, T) = u(z, T)\exp[i(\beta_0 z - \omega_0 T)]$  where  $u(z, T)$  is its amplitude. With such hypotheses, one derives the following temporal nonlinear polarization [54]:

$$P_{NL}(z, T) = \frac{3\chi^{(3)}}{8} \left[ \frac{1}{3} (u^3(z, T)\exp(3i\theta) + u^{*3}(z, T)\exp(-3i\theta)) + |u(z, T)|^2 (u(z, T)\exp(i\theta) + u^*(z, T)\exp(-i\theta)) \right], \quad (2)$$

where  $\theta = -\omega_0 T + \beta_0 z$ ,  $u^3(z, T)\exp(3i\theta)$  accounts for the THG of positive frequencies,  $u^{*3}(z, T)\exp(-3i\theta)$  accounts for the THG of negative frequencies,  $|u(z, T)|^2 u(z, T)\exp(i\theta)$  is for the well-known positive frequencies cubic Kerr nonlinearity inducing the SPM, and  $|u(z, T)|^2 u^*(z, T)\exp(-i\theta)$  corresponds to the novel term dubbed as cubic NFK effect [53,54]. Introducing Eq. (2) in Eq. (1) yields after a cumbersome calculation the following nonlinear partial differential equation (PDE) in the retarded frame of time  $T$  following the propagation distance  $z$ :

$$i \frac{\partial u(z, T)}{\partial z} + \sum_{k=2}^{k=10} \frac{i^k \beta_k}{k!} \frac{\partial^k u(z, T)}{\partial T^k} = -\gamma' (1 + i\tau_{shock} \frac{\partial}{\partial T}) u(z, T) \left[ (|u(z, t)|^2 + a_{NFK} (u^*(z, T))^2 \exp(2i\phi)) + \frac{a_{THG}}{3} (u(z, T))^2 \exp(-2i\phi) \right]_+ - \frac{i}{2} (\alpha_l + \alpha_f) u(z, T), \quad (3)$$

with  $\beta_k$ ,  $\tau_{shock}$ ,  $\phi$ ,  $a_{NFK}$ ,  $a_{THG}$ ,  $\alpha_l$  and  $\alpha_f$  being the  $k^{th}$  coefficient of the CDP, the shock parameter (or SS effect), the phase (defined as  $\phi = \omega_0 T + \Delta k z$  [54]), the NFK coefficient, the THG coefficient, the linear losses and the FCA, respectively. The subscript '+' prescribes that only positive frequencies must be taken (i.e.  $\Delta\omega > \omega_0$ ) and is a shorthand notation to indicate the positive frequency *spectral filtering* involved in the analytic signal, and operated in the time domain by the Hilbert transform, which is crucial in this formulation according to ref. [54]. Such operation is important when the sub-cycle pulses are considered assuming that for them, the common SVEA is completely invalid [54]. The coefficient of the cubic nonlinearity is defined in the SOI-waveguide by  $\gamma' = \gamma + i\Gamma_{TPA}$  where  $\gamma = 2\pi n_2 / \lambda_0 A_{eff}$  is the cubic Kerr coefficient and  $\Gamma_{TPA} = \beta_{TPA} / 2A_{eff}$  the TPA parameter both of them well defined in refs. [40,41,48]. The parameters  $n_2 = 6 \times 10^{-18} \text{ m}^2/\text{W}$  and  $A_{eff} = 0.32 \text{ } \mu\text{m}^2$  are respectively the nonlinear index and the effective area of the waveguide. One obtains  $\gamma \approx 76 \text{ W}^{-1}\text{m}^{-1}$  and  $\Gamma_{TPA} \approx 7.8125 \text{ W}^{-1}\text{m}^{-1}$  at the pumping wavelength. The linear losses  $\alpha_l$  are taken with the value  $2 \text{ dB.cm}^{-1}$  at the considered pumping wavelength [63,64] while the FCA  $\alpha_f = \sigma N_C \delta$  where  $\sigma = 1.45 \times 10^{-21} \text{ m}^2$  for silicon and  $N_C$  accounts for the FCD defined with the growth rate equation as  $\partial N_C / \partial T = \left( \beta_{TPA} \lambda_0 |u(z, T)|^4 / 2hc A_{eff}^2 \right) - N_C / \tau$  with  $\tau$  being the effective carrier lifetime [41,63]. We introduce the parameter  $\delta = 1 + i\mu$  where  $\mu$  is well-defined in ref. [64] as  $\mu = 2k_c \omega_0 / \sigma c$  with  $k_c = (8.8 \times 10^{-28} N_C + 1.35 \times 10^{-22} N_C^{0.8}) / N_C$ . In silicon,  $\tau \approx 3 \text{ ns}$  leading the related term to be neglected for femtosecond pulses used here at relatively low repetition rates and consequently the FCD parameter has been calculated and approximated with an Euler integration scheme as  $N_C^{Airy} \approx 3.4180 \times 10^{22} \text{ m}^{-3}$  for Airy pulses and  $N_C^{sech} \approx 6.3356 \times 10^{22} \text{ m}^{-3}$  for sech-type pulses with the pulse duration  $t_0 = 50 \text{ fs}$  and its peak power  $P_0 = 50 \text{ W}$ . To have significant THG and NFK in the process, we proceed with a nonzero spatial phase  $\phi = \Delta k z$  assuming a matching of frequencies as discussed in Eqs. 10.1.5 and 10.1.6 of ref. [2]. Thus,  $\Delta k = \beta_1(\lambda_0)\omega_0 - \beta_0(\lambda_0)$  where  $\beta_1(\lambda_0) = (1/c) \left[ n_{Si}(\lambda_0) - \lambda_0 (dn_{Si}(\lambda)/d\lambda)|_{\lambda=\lambda_0} \right]$  with

160  $\omega_0 = 2\pi c/\lambda_0$ ;  $n_{Si}(\lambda)$  is the refractive index of silicon at the wavelength  $\lambda$ . It is calculated from  
 161 the Sellmeier-type equation [41,65]:

$$n_{Si}(\lambda) = \sqrt{1 + \frac{c_1\lambda^2}{\lambda^2 - \lambda_1^2} + \frac{c_2\lambda^2}{\lambda^2 - \lambda_2^2}}, \quad (4)$$

162 where  $c_1 = 9.733$ ,  $c_2 = 0.936$ ,  $\lambda_1 = 290.4 \text{ nm}$  and  $\lambda_2 = 366.9 \text{ nm}$ . After a small calculation, we  
 163 obtain  $\Delta k = -2\pi dn_{Si}(\lambda)/d\lambda|_{\lambda=\lambda_0} = 4.966576349 \times 10^5 \text{ m}^{-1}$  at  $\lambda_0 = 1550 \text{ nm}$ . The coefficients  
 164  $a_{NFK}$  and  $a_{THG}$  can take the values 0 or 1, depending which nonlinear terms (between NFK  
 165 and THG) one wishes to activate or not [53]. Considering the development described above, the  
 166 model PDE solved in the SCG numerical code is given by [3]:

$$i \frac{\partial \tilde{u}(z, \omega)}{\partial z} = -\tilde{\gamma}' \exp(\hat{L}(\omega)z) F \left\{ \tilde{u}(z, T) \left[ |u(z, t)|^2 + a_{NFK} (u^*(z, T))^2 \exp(2i\phi) + \frac{a_{THG}}{3} (u(z, T))^2 \exp(-2i\phi) \right] \right\}_+. \quad (5)$$

167 The function  $\hat{L}(\omega)$  is the linear operator and  $F\{\}$  the FT operator [2,3,62]. The linear operator  
 168  $\hat{L}(\omega)$  includes the linear losses  $\alpha_l$ , the FCA  $\alpha_f$  (which includes the FCD), and the CDP coefficients.  
 169 We use a truncated Airy pulse profile given as  $u(0, T) = (P_0)^{1/2} Ai\left(T/t_0\right) \exp\left(aT/t_0\right)$  with  $Ai(\tau)$   
 170 and  $a$  being the Airy function and the truncation coefficient, respectively. The truncation  
 171 coefficient or decay factor  $a$  ( $0 < a < 1$ ) is a quantity to ensure containment of the infinite Airy  
 172 tail and can thus enable the physical realization of such pulses [36]. In practice, an Airy pulse can be  
 173 produced by adding a cubic phase to a Gaussian spectrum [7,35,36]. The truncation coefficient is  
 174 taken as  $a = 0.05$ . The CDP of the considered SOI-waveguide is defined as  $\beta_2 = -0.1701 \text{ ps}^2/\text{m}$   
 175 [41],  $\beta_3 = 0.008505 \text{ ps}^3/\text{m}$ ,  $\beta_4 = -0.42525 \times 10^{-3} \text{ ps}^4/\text{m}$ ,  $\beta_5 = 0.212625 \times 10^{-4} \text{ ps}^5/\text{m}$ ,  $\beta_6 =$   
 176  $-0.1063125 \times 10^{-5} \text{ ps}^6/\text{m}$ ,  $\beta_7 = 5.315625000 \times 10^{-8} \text{ ps}^7/\text{m}$ ,  $\beta_8 = -2.657812500 \times 10^{-9} \text{ ps}^8/\text{m}$ ,  
 177  $\beta_9 = 1.328906250 \times 10^{-10} \text{ ps}^9/\text{m}$ ,  $\beta_{10} = -6.644531250 \times 10^{-12} \text{ ps}^{10}/\text{m}$ , obtained with Eq. (7)  
 178 of ref. [6].

179 To explore the coherence degree (CD) of the obtained spectra, we calculate the first-order CD  
 180 given as [1-3,5,7,8,66,67]:

$$|g_{12}(\lambda, T_1 - T_2)| = \frac{|\langle u_1^*(\lambda, T_1) u_2(\lambda, T_2) \rangle|}{\sqrt{\langle |u_1(\lambda, T_1)|^2 \rangle \langle |u_2(\lambda, T_2)|^2 \rangle}}, \quad (6)$$

181 where  $u_1(\lambda, T_1)$  and  $u_2(\lambda, T_2)$  are the envelope amplitude (with a given shape) of two propagating  
 182 electric fields of independently SCG pairs. These electric fields belong to immediately successive  
 183 generated SCG spectra. The CD function is bordered as  $0 \leq |g_{12}(\lambda, T_1 - T_2)| \leq 1$ . The value  
 184 0 corresponds to totally incoherent spectrum while the maximal value 1 deals with the perfect  
 185 spectral coherence. We have calculated the CD function in order to focus on the wavelength  
 186 dependence of the coherence.

187 Our results are obtained using the MATLAB software run on two computers : Intel(R)  
 188 Core(TM) i7-2760QM CPU @ 2.40GHz (8 CPUs), 2.4GHz and 8GB of random access memory  
 189 and a quad-core (Intel Pentium Gold G5500 CPU @3.80 GHz) computer. The numerical code  
 190 is based on the well-known split-step Fourier method (SSFM) [2,3]. We have modified and  
 191 re-adapted the MATLAB code kindly provided in ref. [62] by J.C. Travers, M. H. Frosz and J. M.  
 192 Dudley. We have modified the command lines according to the specificities of our work (please  
 193 consider the supplementary material).

194 With all these modifications (view the supplement document of this paper) within the MATLAB  
 195 code provided in ref. [62], we obtain the results in the following section.

### 3. Numerical results

#### 3.1. Effects the waveguide parameters on the SCG

We start to show in Fig. 2, the single effects of the waveguide parameters on the SCG phenomenon of truncated Airy pulses (consider Fig. 2(a.1) to Fig. 2(d.1)). A comparison is done with the sech-type pulse which is the ideal candidate for symmetric pulses (consider Fig. 2(a.2) to Fig. 2(d.2)). The isolated effects of the parameters of the waveguide are investigated by considering one parameter after another, i.e. when, for example, the effect of linear losses (LL) is studied ( $\alpha_l \neq 0$ ), all the other parameters are canceled and so on. The effect of LL alone is described by the solid black curves, that of FCA ( $\alpha_f \neq 0$ ) is described by the blue curves in dashed lines; the dotted purple curves describe the TPA ( $\Gamma_{TPA} \neq 0$ ) effect alone while the THG ( $a_{THG} = 1$ ) is drawn by the green dot-dash curves, and finally the red dotted curves are for the NFK ( $a_{NFK} = 1$ ). For realistic SOI-waveguides (very short waveguides) of the order of a centimeter, we have illustrated on the LHS of Fig. 2 the results at  $z = 1 \text{ cm}$  and to see how the various effects are accentuated according to the propagation distance, we have gone up to  $7 \text{ cm}$  for the RHS results of Fig. 2. When we are interested in the LL effect, the observation of the solid black curves at  $7 \text{ cm}$  shows that it is the most deleterious parameter for the SCG of the Airy followed by the FCA and TPA which are substantially similar in terms of reduction impact (see Figs. 2(b.1) and 2(d.1)). THG and NFK, on the other hand, are more favorable to spectral spreading (see the green and red curves in Fig. 2(b.1)). It can be seen that at  $1 \text{ cm}$  only the LL has a relatively perceptible effect on the SCG (see Figs. 2(a.1) and 2(c.1)) while the other parameters have approximately the same impact which is not very significant. Thus, the effects increase with propagation distance when comparing 2(a.1) and 2(c.1) with 2(b.1) and 2(d.1). As already discussed in ref. [7,13], the spectral profile of the Airy pulse is a Gaussian shape (see the curves in Fig. 2(a.1) and 2(b.1)). Overall when looking at Figures 2(a.1) to 2(d.1), it can be seen that linear and nonlinear losses (LL, FCA and TPA) have the expected effect of reducing the spectral intensity (S.I) as well as the spectral bandwidth (S.B) of the truncated Airy pulse. By focusing on the time domain of figures 2(c.1) for  $1 \text{ cm}$  and 2(d.1) for  $7 \text{ cm}$ , we see that with the propagation distance solitonic fission (SF) is drastically realized.

The comparison of these effects on another pulse profile which is rather symmetric like the sech-type (Figs. 2(a.2) to 2(d.2)), shows that these different parameters affect more this type of pulse than airy pulse. Indeed, we see here that from  $1 \text{ cm}$  (see 2(a.2) and 2(c.2)), the different impacts of the parameters are distinct from each other. Here, the TPA is the most deleterious effect followed by the LL and FCA while obviously the THG and the NFK act rather in the direction of improvement of the SCG as for the Airy pulse.

At the end of this subsection, it can be seen that the losses, whether linear or nonlinear, reduce as expected the S.I and S.B of the symmetrical (sech-type) and asymmetrical (Airy) pulses while the THG and NFK as terms rather, nonlinear terms related to SPM spread the spectrum in order to improve it. However, the influence on these two profiles is not the same. For short distances, the asymmetrical Airy pulse resists well to the impact of the waveguide in the SCG phenomenon, which is not the case for the symmetrical pulse of the sec-type. TPA reduces sech-type pulse more than Airy pulse, whereas LL affects the latter more.

#### 3.2. Comparison between the truncated Airy and sech-type pulses

To see how the two pulses behave under the same conditions of the single effects for the various parameters discussed above, we have superimposed them on Fig. 3. The general observation made on these cases represented in figure 3 for the two types of profile consists in that the spectra of the Airy pulse are clearly broader than those of the sech-type pulse (see the first and the third rows 3(a.1) to 3(e.1) for  $z = 1 \text{ cm}$  and  $z = 7 \text{ cm}$ , respectively). We also see that non-solitonic radiation (NSR) is emitted under the influence of THG and NFK but more explicitly

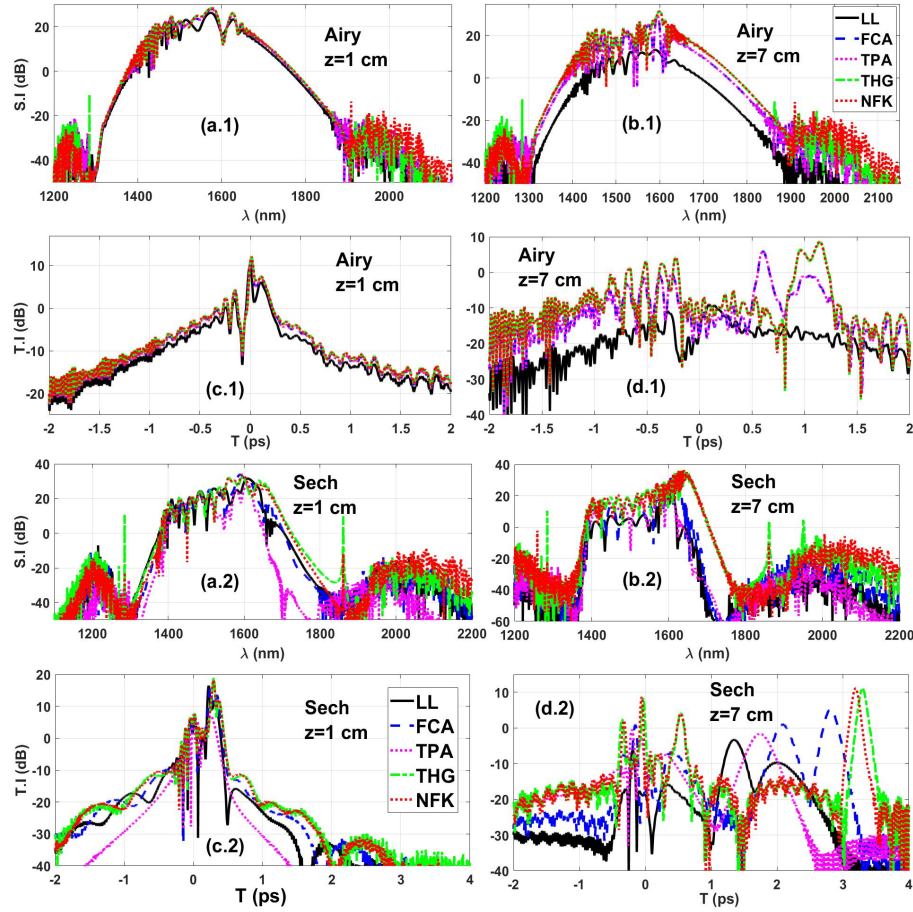


Fig. 2. (color online) For all :  $P_0 = 50$  W,  $t_0 = 50$  fs at the pumping wavelength 1550 nm. Plots of left-hand side (LHS) are for  $z = 1$  cm and those of right-hand side (RHS) are for  $z = 7$  cm. From (a.1) to (d.1) are for Airy pulse and from (a.2) to (d.2) are for the sech-type pulse. (a.1), (b.1), (a.2) and (b.2) are for the SCG spectra while (c.1), (d.1), (c.2) and (d.2) are for the temporal output profiles.



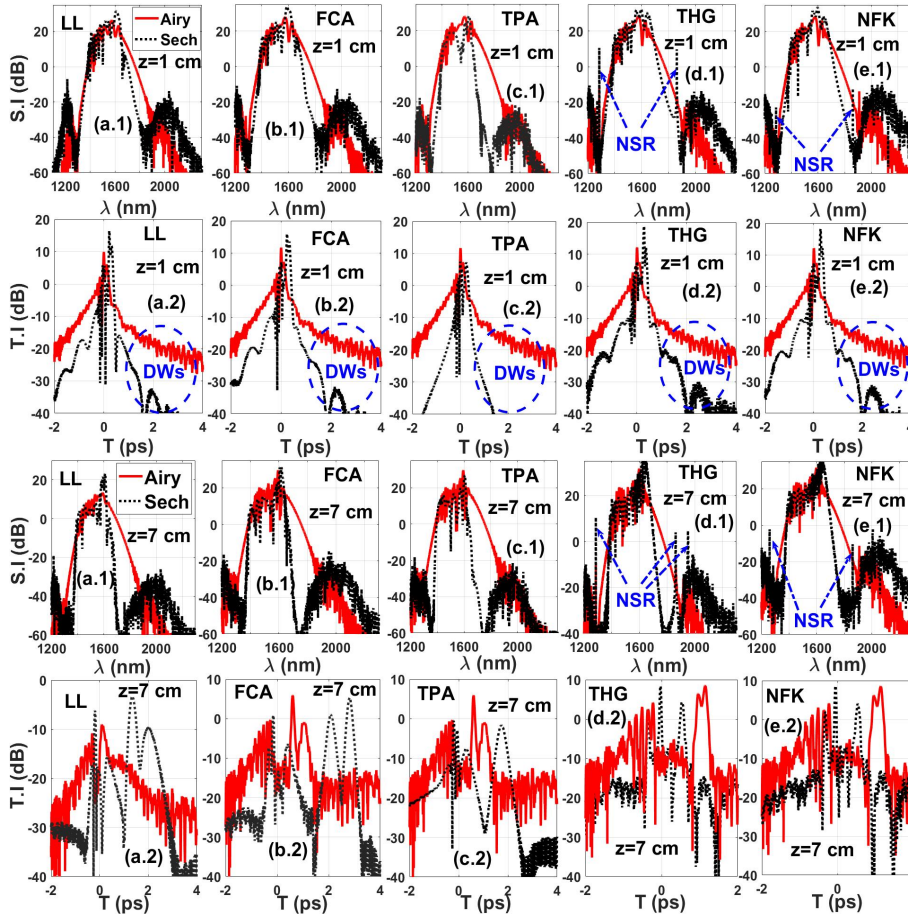


Fig. 3. (color online) Same conditions as in Fig. 2. Top figures (a.1) to (e.1) and (a.2) to (e.2) are for  $z = 1 \text{ cm}$ . Bottom figures (a.1) to (e.1) and (a.2) to (e.2) are for  $z = 7 \text{ cm}$ . Red solid curves are for truncated Airy pulse while black dashed curves are for sech-type pulse.

on the sech-type pulse than on the Airy pulse (see 3(d.1) and 3(e.1) at the first and third rows). Moreover, in the time domain, the extent of the zone as well as the intensity of the dispersive waves (DWs) are more important for the Airy pulse at low propagation distances ( $z = 1 \text{ cm}$ , see the curves of the second row 3(a.2) to 3(e.2)) than for the sech-type pulse. The discussion around the emission of DWs and NSRs during the SCG phenomenon by pulses has been extensively done in refs. [54-57,68].

### 3.3. Full case

In the full case in which all the parameters studied above (LL, FCA, TPA, THG and NFK) are considered as non-zero simultaneously, we have produced Figure 4. The first and second lines are for  $z = 1 \text{ cm}$  while the third and fourth lines are for  $z = 7 \text{ cm}$ .

For the two pulses, this more realistic case of the studied silicon waveguide demonstrates that the linear and nonlinear absorption terms, in particular the LL, the FCA and the TPA, are preponderant in the progress of the SCG. Indeed, it is observed that the spectra diminish as the propagation distance increases (see the figures in the first column). Obviously the observation made in Figure 3 is also made here, namely that the S.B of the Airy pulse is significantly larger than that of the sech-type (See Figs. 4(c.1) and 4(c.2)); for example we have approximately at



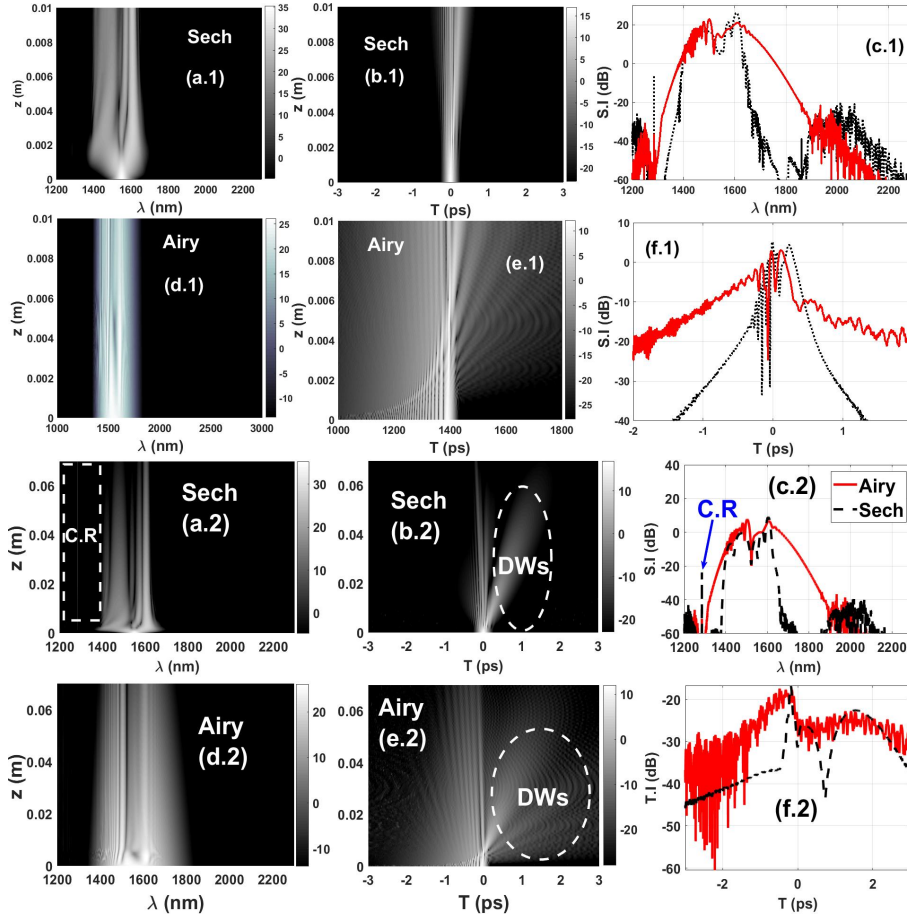


Fig. 4. (color online) Same conditions as in the previous figures 2 and 3. Now all the parameters studied above are all taken together non-zero simultaneously to constitute the full case.

260  $-20$  dB,  $500$  nm for the Airy against approximately  $267$  nm for the dry at  $z = 1$  cm (see Fig.  
261 4(c.1)).

262 Along with the propagation distance, the nonlinear terms of THG and NFK cooperate to  
263 generate the NSR known as the Cherenkov radiation (C.R) for the sech-type pulse (see Figs.  
264 4(a.2) and 4(c. 2)). The reduction induced by LL, FCA and TPA does not change the ratio  
265 between the two S.Bs of the two pulses (see Fig. 4(c.2)). Still at  $-20$  dB, we note that in S.B, we  
266 have approximately  $400$  nm for the Airy and approximately  $220$  nm for the sech-type pulse. The  
267 ratio of S.Bs to  $-20$  dB is obtained approximately around  $0.5$ ; thus under the same conditions in  
268 the waveguide considered, the Airy pulse seems to produce a spectrum twice as large as that of  
269 the sech-type. The DWs are emitted intensely the longer the propagation distance increases (see  
270 Figs. 4(b.2) and 4(e.2)). Obviously, this is done with more magnitude for the Airy pulse than for  
271 the sech-type pulse as discussed in Figure 3 (second row).

272 It emerges from these results that the silicon waveguide like the conclusions of ref. [41]  
273 absorbs a good part of the propagating signal through its loss terms, as the distance increases.  
274 This induces a reduction of the S.I and the S.B. Nevertheless, the Airy pulse is quite resistant to  
275 this deleterious impact and still produces a relatively broader spectrum than that of the sech-type.  
276 Furthermore, the shedding of the DWs is more accentuated in the Airy than in the sech-type  
277 pulse, while the latter undergoes more the shedding of the C.R in comparison to the Airy under

the same conditions. We can deduce that the robustness, the resistance in propagation of the Airy would be at the origin of such features. Which robustness is intrinsically linked to the distribution of the energy of said pulse in its various lobes: from the dominant to the secondary lobes of the tail oscillations.

### 3.4. Pulse characteristics: effects of peak power and pulse duration

Let us now consider the characteristics of the pulses, in particular the peak power  $P_0$  and the pulse duration  $t_0$ . These magnitudes were varied from values 25 to 100 respecting a step of 25 each time.. The obtained results are depicted in Fig. 5. By observing figures 5(a.1), (b.1), (a.2)

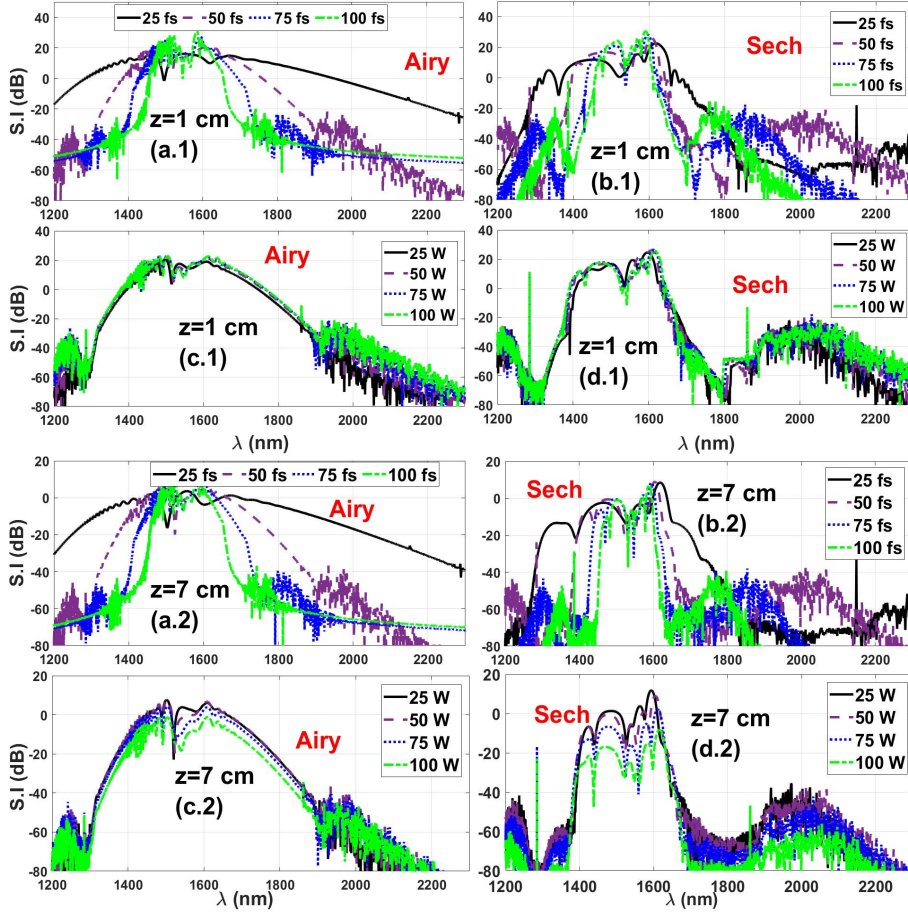


Fig. 5. (color online) The conditions are the same as in the full case of Fig. 4 except that here  $P_0$  or  $t_0$  are varied implying that the FCA should be recalculated each time for each following the pulse shape using the Euler integration scheme of the growth rate equation mentioned above in section 2.

and (b.2) where the pulse duration has been varied for the two pulses, we see that the more the duration is smaller, the larger the S.B. The S.B is therefore inversely proportional here to the duration of the pulse and that, for the two types of profile. This result is surprising and contradicts the discussion made in ref. [1] about the influence of  $t_0$  on the SCG phenomenon. Indeed, when we consider figure 16 of ref. [1] on page 1157 of said article, we see that the increase in S.B in the SCG is proportional to the increase in  $t_0$ . Contrary to this result, we here in the SOI-waveguide, we observe the opposite. In the principle of ref. [1], the number of input solitons is related to the

pulse duration  $t_0$ , and therefore since the S.F occurs during the SCG, then the higher the number of solitons (implying  $t_0$ ) the greater is the S.B. This is certainly caused by the presence of the absorption terms which particularly affect signals filled with energy. Since if we increase the pulse duration while keeping the peak power constant, this induces an increase in the energy of the pulse, thus making it vulnerable to losses (LL, FCA and TPA).

To confirm our assertion, we also plotted the spectra by varying the peak power instead of the pulse duration (consider figures 5(c.1), (d.1), (c.2) and (d.2)). We see for example in the figures of  $z = 7 \text{ cm}$  in 5(c.2) for the Airy pulse and 5(d.2) for the dry-type pulse, that the width of the S.B of  $P_0 = 100 \text{ W}$  is significantly smaller than that of  $P_0 = 25 \text{ W}$ . It is therefore observed that the most energetic signals generate here in the long run, smaller spectra. This contrasts with the results known so far about the energization of pulses at the input of waveguides to perform the SCG. In fact, one of the common methods to improve the SCG via the input pulse is to energize it more in the expectation of a more vigorous interaction with the waveguide producing a spectral explosion in terms of S.B. [1-4]. But here we show that for waveguides such as those in silicon which have significant linear and nonlinear losses, it is rather disadvantageous to increase the energy of the signals. This is what emerges from figure 5, regardless of the type of shape of the pulse.

### 3.5. SCG spectral coherence

To assess the quality of the spectra obtained as a function of the different parameters, we used equation (6) according to refs. [5,66,67] by making two twin signals  $u_1$  and  $u_2$  interact in an autocorrelation, one of which is normal and the other noisy in order to define the CD  $|g_{12}(\lambda)|$  which is between 0 and 1. The value 1 is for a perfect consistency of the spectrum and 0 for a totally noisy spectrum. Figure 6 was obtained for the different parameters. As shown in this

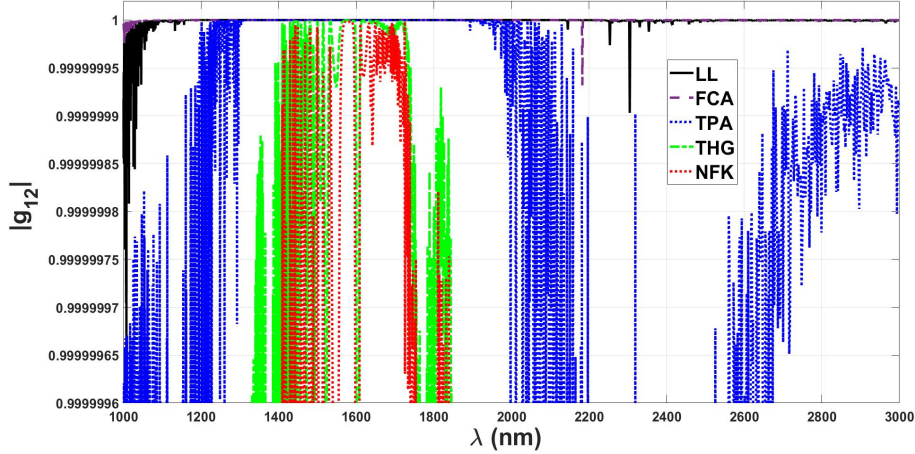


Fig. 6. (color online) For all  $z = 1 \text{ cm}$ ,  $P_0 = 50 \text{ W}$  and  $t_0 = 50 \text{ fs}$  with  $\lambda_0 = 1550 \text{ nm}$ .

figure, we can conjecture that the spectral coherence according to the various parameters follows the following order: the spectrum is more coherent under the LL alone (dashed purple curve) then under the FCA alone (solid black curve), followed by TPA (blue dotted curve), THG (green dot-dash curve) and NFK (dotted red curve) being the last one because giving the least coherent spectrum of all.

By zooming in on the CD obtained under the same conditions for the Airy pulse and the dry-type pulse in Figure 7(I), we see that the spectrum of the Airy pulse is clearly more coherent than that of the dry-type. Likewise, it is observed in FIG. 7(II) that the high power decreases the

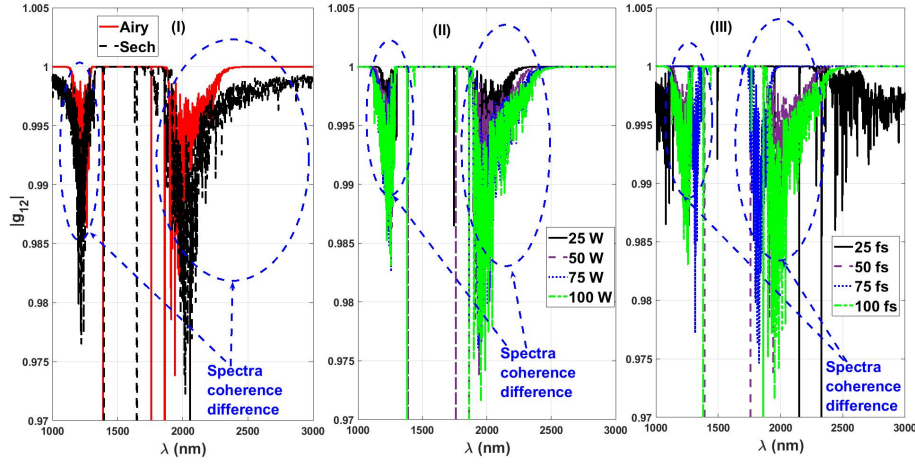


Fig. 7. (color online) For all  $z = 1 \text{ cm}$ . Plots of CD versus the wavelength for the characteristics of the pulse: (I) for the shape, (II) for the peak power with the Airy pulse, (III) for the pulse duration with the Airy pulse.

spectral coherence. Moreover, in Figure 7(III) the Airy pulse having a duration of  $100 \text{ fs}$  has a less coherent spectrum than that of  $50 \text{ fs}$ . Thus, the longer the pulse, the less it has coherent spectra in the waveguide studied.

#### 4. Highlights

The hotspots of this work are summarized in this section following the findings discussed above:

- the Airy pulse has a SCG spectrum that is less influenced by the waveguide than the sech-type symmetric pulse,
- the losses effectively reduce the S.I and the S.B of the spectra while the THG and the NFK increase them. However, the most deleterious factor in this sense for the Airy pulse is the LL, while that of the sech-type pulse is the TPA,
- the spectrum of the Airy pulse is broader than that of the sech-type in the studied waveguide,
- the intensity and area extent of the DWs are more important for the Airy pulse than the sech-type,
- the C.R is generated in the sech-type pulse because its spectrum is more affected by THG and NFK,
- due to the presence of linear and nonlinear loss terms such as LL, FCA and TPA, the increase in signal energy is deleterious to the SCG in this silicon waveguide; this results in smaller spectra as peak power and pulse duration increase. This result contrasts with that of ref. [1] because in this case, we consider the absorptions which are not present in the waveguide of [1]. We define the relations  $S.B \propto 1/P_0$  and  $S.B \propto 1/t_0$ ,
- the spectral coherence defined by the CD was found to be related to the previous result, i.e. when the pulse has more energy, the coherence decreases and therefore for the Airy pulse:

$$\begin{aligned}
 |g_{12}^{LL}| &> |g_{12}^{FCA}| > |g_{12}^{TPA}| > |g_{12}^{THG}| > |g_{12}^{NFK}|, \\
 |g_{12}| &\nearrow \text{ with } P_0 \searrow, \\
 |g_{12}| &\nearrow \text{ with } t_0 \searrow,
 \end{aligned} \tag{7}$$

346 • the Airy pulse has a spectral coherence above that of the sech-type pulse:  $|g_{12}^{Airy}| > |g_{12}^{sech}|$ .

347 Thus, the suggestion at the end of this work is that for the realization of an optimal and more  
348 coherent SCG under the conditions described in this paper, the choice of an Airy pulse with short  
349 duration and low peak power is more suitable.

## 350 5. Conclusion

351 In short, we have numerically studied in this work the SCG phenomenon raised from femtosecond  
352 truncated Airy pulses within a SOI-waveguide including loss effects, THG and NFK terms. This  
353 study was conducted through a modeling based on the full UPPE model which allows after refs.  
354 [41,54] to assume the existence of the NFK term in the Kerr nonlinearity with a spectral filtering.  
355 The various effects of the linear losses, the FCA/FCD, the TPA, the THG, the NFK, the peak  
356 power, the pulse duration and the pulse shape have been explored and discussed. For the shape  
357 comparison, we used a symmetrical profile of the sech-type. More specifically, we have shown  
358 that the Airy pulse has SCG spectra that are less influenced by the waveguide than the sech-type  
359 symmetric pulse; moreover, the losses effectively reduce the S.I and the S.B of the spectra while  
360 the THG and the NFK increase them. However, the most deleterious factor for the Airy pulse  
361 is the LL, while that of the sech-type pulse is the TPA. The SCG spectra of the Airy pulse are  
362 broader than that of the sech-type in the studied waveguide; the intensity and area extent of the  
363 DWs are more important for the Airy pulse than the sech-type while the C.R is generated in the  
364 sech-type pulse because its spectrum is more affected by THG and NFK. Due to the presence  
365 of linear and nonlinear loss terms such as LL, FCA and TPA, the increase in signal energy is  
366 deleterious to the SCG in this silicon waveguide; this results in smaller spectra as peak power  
367 and pulse duration increase. The spectral coherence defined by the CD was found to be related to  
368 the previous result, i.e. when the pulse has more energy, the coherence decreases and therefore  
369 for the Airy pulse, the most consistent spectra are those under the LL effect alone and the most  
370 noisy spectra are those of NFK alone. It has also been shown that the Airy pulse has a spectral  
371 coherence above that of the sech-type pulse. Thus, for the realization of an optimal and more  
372 coherent SCG under the conditions described in this paper, the choice of an Airy pulse with short  
373 duration and low peak power is more suitable. Such features are expected to be useful in the SCG  
374 achievement through SOI-waveguides using Airy pulses for applications related to integrated  
375 chips and nanowires in all-optical systems.

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383 The authors declare that there are no conflicts of interest related to this paper.

384 See Supplement 1 for supporting content.

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